# , ATENT COOPERATION TREATY

	From th	e INTERNAT	IONAL BL	JREAU	
PCT	То:				
NOTIFICATION OF THE RECORDING OF A CHANGE  (PCT Rule 92bis.1 and Administrative Instructions, Section 422)  Date of mailing (day/month/year)	Bjerk P.O.	RKÉN, Håkan déns Patentb Box 1274 1 37 Gävle DE	yrå KB		
20 July 2000 (20.07.00)	<u> </u>				
Applicant's or agent's file reference 20170PCT HB		IMPORTA	ANT NOTII	FICATION	
International application No.	ŧ .	nal filing date (d			
PCT/SE99/02029	09 N	lovember 19	99 (09.11.9	<u></u>	
The following indications appeared on record concerning:      The applicant the inventor	the ager	it	the commo	n representative	BES
Name and Address STT HOLDING AB P.O. Box 7219 S-862 40 Njurunda Sweden	•	State of Natio SE Telephone No		State of Residence SE	BEST AVAILABLE COPY
Sweden		Facsimile No.	o.		IAB.
					m
2. The International Bureau hereby notifies the applicant that the the person the name X the add		change has been the nation.		concerning: the residence	ြဋ
Name and Address STT HOLDING AB Kontorsvägen 9 S-852 29 Sundsvall		State of Nation SE Telephone No		State of Residence SE	
Sweden		Facsimile No.			
		Teleprinter N	0.	<u>, , , , , , , , , , , , , , , , , , , </u>	
3. Further observations, if necessary:					
4. A copy of this notification has been sent to:			<del> </del>		
X the receiving Office	]	=	ated Offices		
the International Searching Authority  X the International Preliminary Examining Authority	[	other:	, omices com		
The International Pursey of MIDO	Authorized	officer			
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland		A.	Karkachi		

Telephone No.: (41-22) 338.83.38

Form PCT/IB/306 (March 1994)

Facsimile No.: (41-22) 740.14.35

# TENT COOPERATION TREAT

#### From the INTERNATIONAL BUREAU

## **PCT**

#### **NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

ERIKSSON, Ingemar et al

**.** 

Assistant Commissioner for Patents United States Patent and Trademark Office

Box PCT

Washington, D.C.20231 ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year) 20 July 2000 (20.07.00)	in its capacity as elected Office		
International application No. PCT/SE99/02029	Applicant's or agent's file reference 20170PCT HB		
International filing date (day/month/year) 09 November 1999 (09.11.99)	Priority date (day/month/year) 09 November 1998 (09.11.98)		
Applicant			

1.	The designated Office is hereby notified of its election made:						
	X in the demand filed with the International Preliminary Examining Authority on:						
	07 June 2000 (07.06.00)						
	in a notice effecting later election filed with the International Bureau on:						
2.	The election X was						
	was not						
	made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).						

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Facsimile No.: (41-22) 740.14.35

Authorized officer

A. Karkachi

Telephone No.: (41-22) 338.83.38

# PATENT COOPERATION TREATY

	From the INTERNATIONAL BUREAU
PCT	To:
NOTIFICATION OF THE RECORDING OF A CHANGE  (PCT Rule 92bis.1 and Administrative Instructions, Section 422)	BJERKÉN, Håkan Bjerkéns Patentbyrå KB P.O. Box 1274 S-801 37 Gävle SUÈDE
Date of mailing (day/month/year) 12 April 2001 (12.04.01)	
Applicant's or agent's file reference 20170PCT HB	IMPORTANT NOTIFICATION
International application No. PCT/SE99/02029	International filing date (day/month/year) 09 November 1999 (09.11.99)
The following indications appeared on record concerning:      X the applicant      X the inventor	the agent the common representative
Name and Address BLOMQVIST, Micael	State of Nationality State of Residence SE SE
Blåklintsvägen 3 S-862 34 Kvissleby Sweden	Telephone No.
	Facsimile No.
	Teleprinter No.
The International Bureau hereby notifies the applicant that the the person X the name the additional that the person X the name the additional that the person the additional that the person that the pe	
Name and Address BLOMQUIST, Micael	State of Nationality State of Residence
·	Telephone No.
	Facsimile No.
	Teleprinter No.
3. Further observations, if necessary:	
4. A copy of this notification has been sent to:	
X the receiving Office	the designated Offices concerned
the International Searching Authority  X the International Preliminary Examining Authority	X the elected Offices concerned other:
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  C. Cupello
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

# Copy for the Elected Office (EO/US)

# , ATENT COOPERATION TREAMY

	From th	ne INTERNATIONAL B	UREAU
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NOTIFICATION OF THE RECORDING OF A CHANGE  (PCT Rule 92bis.1 and Administrative Instructions, Section 422)  Date of mailing (day/month/year) 17 April 2001 (17.04.01)	Bjerl P.O.	RKÉN, Håkan kéns Patentbyrå KB Box 1274 1 37 Gävle DE	
	<u> </u>		
Applicant's or agent's file reference 20170PCT HB		IMPORTANT NOT	IFICATION
International application No.	ř	nal filing date (day/month/y	
PCT/SE99/02029	09 N	lovember 1999 (09.11.	99)
The following indications appeared on record concerning:      The applicant the inventor	the ager		on representative
Name and Address STT HOLDING AB		State of Nationality SE	SE SE
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Sweden		Facsimile No.	
		Teleprinter No.	
2. The International Bureau hereby notifies the applicant that t	he following	change has been recorded	concerning:
the person X the name the add	dress	the nationality	the residence
Name and Address		State of Nationality SE	State of Residence
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S-852 29 Sundsvall Sweden			
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the International Searching Authority	Ì	X the elected Offices con	cerned
the International Preliminary Examining Authority		other:	
The International Bureau of WIPO	Authorized	officer	
34, chemin des Colombettes 1211 Geneva 20, Switzerland		Dorothée Mi	ilhausen
Faccimile No.: (41-22) 740 14 35	Telephone	No : (41-22) 338 83 38	



# **PCT**

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference	FOR FURTHER ACTION	See Notific	cation of Transmittal of International
20170PCT ab	TORTORIDERMOTION	Preliminar	y Examination Report (Form PCT/IPEA/416)
International application No.	International filing date (day/	month/year)	Priority date (day/month/year)
PCT/SE99/02029	09.11.1999		09.11.1998
International Patent Classification (IPC) of	or national classification and IP	C <sub>7</sub>	
F02M 25/07, F16K 11/0	52, F16K 11/14		
	·		
Applicant			
STT HOLDING AB et al			
This international preliminary ex	amination report has been prep	ared by this Inte	rnational Preliminary Examining
Authority and is transmitted to the			
2. This REPORT consists of a total	of 5 sheets, inc	cluding this cove	er shæt.
This report is also accomp	anied by ANNEXES, i.e., shee	ts of the descrip	tion, claims and/or drawings which have
been amended and are the	basis for this report and/or she on 607 of the Administrative In	ets containing re	ectifications made before this Authority
		30 deticin disae.	
These annexes consist of a total	of 5 sheets.	•	
This report contains indications r	elating to the following items:		
	vicing to the series		
I Basis of the report			
II Priority	•		1
III Non-establishment	of opinion with regard to novel	ty, inventive ste	ep and industrial applicability
IV \ Lack of unity of inv	vention		
V Reasoned statement	t under Article 35(2) with regar	rd to novelty, in	ventive step or industrial applicability;
VI Certain documents			
[]			
į	he international application		
VIII Certain observation	s on the international applicati	on	
Date of submission of the demand	D	ate of completic	on of this report
07.06.2000	1	2.01.200	1
Name and mailing address of the IPEA/	SE A	uthorized office	er -
Patent- och registreringsverke	t Telex	-	
Box 5055 S-102 42 STOCKHOLM	17978 PATOREG-S	an Iones	sco / JA A
Facsimile No. 08-667 72 88	ĺΤ	elephone No. 0	8-782 25 00

Facsimile No. 08-667 72 88
Form PCT/IPEA/409 (cover sheet) (January 1998)



International application No.
PCT/SE99/02029

I.	Basi	s of the 1	report
1.	With	regard to	the elements of the international application:*
			mational application as originally filed
	$\boxtimes$	the desc	ription:
	لاسكا		, as originally fried
		pages	
		pages _	, filed with the letter of
	$\bowtie$	the clair	ms: , as originally filed
		pages -	as amended (together with any statement) under article 19
		pages _	,
		pages .	10-14 , filed with the letter of 23.11.2000
	$\square$		uringer:
	لجيكا		, as originally filed
		pages	, filed with the demand
		pages	, filed with the letter of
			uence listing part of the description: , as originally filed
			, filed with the demand
		pages	, filed with the letter of
2		n regard to nternation se elemen	o the language, all the elements marked above were available or furnished to this Authority in the language in which nal application was filed, unless otherwise indicated under this item.  This were available or furnished to this Authority in the following language which is:  The aguage of a translation furnished for the purposes of international search (under Rule 23.1(b)).
ł	<u> </u>	] ]	expage of publication of the international application (under Rule 48.3(b)).
		the lar	nguage of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and 3).
3	3. With	h regard t iminary e	to any nucleotide and/or amino acid sequence disclosed in the international application, the international examination was carried out on the basis of the sequence listing:
	Ĺ	contai	ned in the international application in written form.
			ogether with the international application in computer readable form.
l			hed subsequently to this Authority in written form.
١	F	furnis	hed subsequently to this Authority in computer readable form.
		intern The s	tatement that the subsequently furnished written sequence listing does not go beyond the disclosure in the ational application as filed has been furnished. tatement that the information recorded in computer readable form is identical to the written sequence listing has furnished.
	4.	The a	mendments have resulted in the cancellation of:
			the description, pages
		$\sqcap$	the claims, Nos.
		Ħ	the drawings, sheet/fig
	5.	ل beyo	report has been established as if (some of) the amendments had not been made, since they have been considered to go and the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2 (c)).**
	in	eplaceme this repo	nt sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to ort as "originally filed" and are annexed to this report since they do not contain amendments (Rules 70.16
	** Ai	ny replac	ement sheet containing such amendments must be referred to under item I and annexed to this report.

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

	٠
International application No.	
PCT/SE99/02029	

īv.	Lack of unity of invention
1.	In response to the invitation to restrict or pay additional fees the applicant has:  restricted the claims.  paid additional fees.  paid additional fees under protest.  neither restricted nor paid additional fees.
2.	This Authority found that the requirement of unity of invention is not complied with and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.
3.	This Authority considers that the requirement of unity of invention in accordance with rules 13.1, 13.2 and 13.3 is  complied with.  not complied with for the following reasons:  The International Preliminary Examining Authority found
;	The International Preliminary Examining Authority found multiple inventions in this international application, as follows:  - claims 1 - 21 relate to a method and a device for recirculating a part of exhaust gases of a diesel engine and to a valve for mixing exhaust gases and fresh air;  - claims 22 - 25 relate to a method and a device for regulating a diesel engine depending on information from a lambda probe and from sensors for the engine speed and the engine load.
	The invention of claims 1 - 21and of claims 22 - 25 do not have common special technical features as required by PCT Rule 13.2.
4.	Consequently, the following parts of the international application were the subject of international preliminary examination in establishing this report:
	all parts.
	the parts relating to claims Nos.

#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

nternational application No.

PCT/SE99/02029

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1.	Statement
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Novelty (N)	Claims 1-25 Claims				
Inventive step (IS)	Claims Claims	1-21 .22-25	YES NO		
Industrial applicability (IA)	Claims Claims	1-25	YES NO		

2. Citations and explanations (Rule 70.7)

Amended claims have been submitted.

The present invention relates to a method and a device for recirculating a part of exhaust gases of a diesel engine and to a valve for mixing exhaust gases and fresh air as stated in the preambles of claims 1, 6, and 16 respectively. One purpose of the invention is to provide a simple and reliable method and device regulating the relation air/recirculated exhaust gases. This is achieved by the features stated in the characterising part of claims 1, 6 and 16.

None of the documents cited in the International Search Report discloses a method and a device for recirculating a part of exhaust gases of a diesel engine and a valve for mixing exhaust gases and fresh air as claimed in claims 1-21. Furthermore, in the cited documents there are no suggestions leading a person skilled in the art towards the invention defined by claims 1-21. Therefore, the invention claimed in claims 1-21 is novel, involves an inventive step and has industrial applicability according to PCT Article 33(2,3,4).

The following document was cited in the International Search Report as particularly relevant with regard to claims 22 and 24:

D1. DE, C1, 19728353

.../...

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/SE99/02029

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: V.

diesel for а device regulating discloses а comprising means for recirculating a part of exhaust gases and a valve device for regulating the relation between supplied fresh air and recirculated exhaust gases. The valve device is controlled depending on different engine parameters.

The method and the device according to claims 22 and 24 differ from the device described in D1 only in that a Lambda probe is used in addition to a speed sensor and an engine load sensor in order to regulate the air/fuel relation of the diesel engine. However, according to D1 (column 4, line 46 - line 54) the regulating system of the engine can be provided, for example, with carbon dioxide sensors placed in the intake pipe and the exhaust pipe. Furthermore, the use of a Lambda probe in mixture regulating systems for internal combustion engines is commonly known art and obvious to a person skilled in the art. Therefore, the subject matter of claim 22 and likewise of claim 24 is novel according to PCT Article 33(2) but lacks inventive step according to PCT Article 33(3).

Dependent claims 23 and 25 disclose further features of the invention according to claims 22 and 24 and are considered to fulfil the requirement of novelty according to PCT Article 33(2) but lacks inventive step according to PCT Article 33(3).

However, claims 22 - 25 fulfil the requirement of industrial applicability according to PCT Article 33(4).

#### CLAIMS:

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- 1. A method for recirculating a part of exhaust gases from an exhaust pipe (6, 9) of a diesel engine (1) to an inlet of the engine, the exhaust gases being diverted from the exhaust pipe (6, 9) and directed through a recirculation conduit (10) to a controllable valve device (12) arranged between the engine and an air intake (2) thereof to enable supply of air/recirculated exhaust gases in a desired relation to a combustion chamber of the engine, the valve device comprising dampers (20, 21) arranged in inlet channels (17, 18) for the recirculated exhaust gases and air respectively, characterized in that at least one of the dampers (20, 21) always is maintained open and that the other damper (20, 21) is closed by means of a drive motor (22) common to the dampers.
  - 2. A method according to claim 1, <u>characterized</u> in that the exhaust gases from the exhaust pipe (6, 9) are diverted after a catalyst (7) and a particle filter (8).
  - 3. A method according to claim 1 or 2, <u>characterized</u> in that the relation air/recirculated exhaust gases is regulated by means of a control device (13) controlling the valve device (12) based on information (14, 15, 16) supplied as to the actual operational state of the engine (1).
  - 4. A method according to any preceding claim, <u>characterized</u> in that the exhaust gases in the recirculation conduit (10) are cooled in a cooler (11) arranged in the recirculation conduit (10).
  - 5. A method according to any preceding claim, characterized in that in a super charged diesel engine the recirculated exhaust gases are supplied between the air intake (2) and a super charger (4).

6. A device for recirculating a part of the exhaust gases from an exhaust pipe (6, 9) of a diesel engine (1) to an inlet of the engine, a recirculation conduit (10) being provided for diverting the exhaust gases from the exhaust pipe (6, 9) and directing them to a controllable valve device (12) arranged between the engine and an air intake (2) thereof for enabling supply of air/recirculated exhaust gases in a desired relation to a combustion chamber of the engine (1), the valve device comprising dampers (20, 21) arranged in inlet channels (17, 18) for the recirculated exhaust gases and air respectively, characterized in that the valve device is arranged to always maintain at least one of the dampers open and that a drive motor (22) common to the dampers is arranged to close the other of the dampers (20, 21).

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- 7. A device according to claim 6, <u>characterized</u> in that the drive motor (22) of the valve device (12) is controlled by a control device (13) regulating, by means of the positions of the dampers (20, 21), the relation air/recirculated exhaust gases in the valve device (12) based on information (14, 15, 16) supplied as to the actual operational state of the engine.
- 8. A device according to any of claims 6-7, <u>characterized</u> in that the recirculation conduit (10) is connected to the exhaust pipe (6, 9) of the engine after the catalyst (7) and a particle filter (8).
- 9. A device according to any of claims 6-8, <u>characterized</u> by a cooler (11) arranged in the recirculation conduit (10) to cool the recirculated exhaust gases.
  - 10.A device according to any of claims 6-9, <u>characterized</u> in that in a diesel engine having a super charger, the valve (12) is arranged between the air intake (2) and the super charger.

- 11.A device according to any of claims 6-10, <u>characterized</u> in that the drive motor is a step-motor (22) arranged to operate one of the dampers (20, 21) at a time and adjust the same into an arbitrary position.
- 12.A device according to any of claims 6-11, <u>characterized</u> in that both dampers (20, 21) in a normal position are spring loaded (28, 29) to an open position.

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- 13. A device according to any of claims 6-12, <u>characterized</u> in that the valve device (12) comprises a first axle (23) on which a first one (20) of the dampers is arranged and a second axle (24) on which a second one (21) of the dampers is arranged, the first and second axles (23, 24) being concentric, and that both axles (23, 24) are arranged to be rotatable by the drive motor (22).
- 14. A device according to claim 13, <u>characterized</u> in that the first axle (23) is connected to a first actuation arm (26), that the second axle (24) is connected to a second actuation arm (27), and that the valve device (12) comprises an actuation pin (25) which is moveable by means of the drive motor (22) in order to rotate the first axle (23) and the second axle (24) by interaction with the first actuation arm (26) and the second actuation arm (27), respectively, so as to control the position of the dampers (20, 21).
- 15. A device according to claim 14, <u>characterized</u> in that the valve device (12) comprises springs (28, 29) acting on the actuation arms (26, 27) so as to spring load each damper (20, 21) towards an open position.
  - 16.A valve for mixing two fluids flowing through two inlet channels (17, 18), <u>characterized</u> in that dampers (20, 21) are arranged in both inlet channels (17, 18), that at least one of

the dampers always is open and that a common motor (22) is arranged to close the other of said dampers (20, 21).

- 17.A valve according to claim 16, <u>characterized</u> in that the motor is a step-motor (22) arranged to operate one of the dampers (20, 21) at a time and adjust the same into an arbitrary position.
  - 18.A valve according to claim 16 or 17, <u>characterized</u> in that both dampers (20, 21) in a normal position are spring loaded (28, 29) to an open position.
- 19 A valve according to any of claims 16-18, <u>characterized</u> in that the valve (12) comprises a first axle (23) on which a first one (20) of the dampers is arranged and a second axle (24) on which a second one (21) of the dampers is arranged, the first and second axles (23, 24) being concentric, and that both axles (23, 24) are arranged to be rotatable by the motor (22).
- 20.A valve according to claim 19, <u>characterized</u> in that the first axle (23) is connected to a first actuation arm (26), that the second axle (24) is connected to a second actuation arm (27), and that the valve (12) comprises an actuation pin (25) which is moveable by means of the motor (22) in order to rotate the first axle (23) and the second axle (24) by interaction with the first actuation arm (26) and the second actuation arm (27), respectively, so as to control the position of the dampers (20, 21).
- 21. A valve according to claim 20, <u>characterized</u> in that the valve (12) comprises springs (28, 29) acting on the actuation arms (26, 27) so as to spring load each damper (20, 21) towards an open position.

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22. A method for regulating a diesel engine, a part of the exhaust gases from the diesel engine being recirculated to the inlet thereof and a supply of fresh air and recirculated exhaust gases to the diesel engine being regulated by means of a valve device (12) controlled by a control device (13) to regulate the relation between the supplied fresh air and recirculated exhaust gases, characterized in that the control device (13) is supplied with information from a Lambda probe (14), an engine speed sensor (15) and an engine load sensor (16) so as to regulate the air/fuel relation of the diesel engine by means of said information and the valve device.

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- 23. A regulating method according to claim 22, <u>characterized</u> in that a valve according to any of claims 16-21 is used as the valve device.
- 24 A regulating device for a diesel engine, comprising means (10) for recirculating a part of exhaust gases from the diesel engine to an inlet thereof and a valve device (12) controlled by a control device (13) for regulating supply of fresh air and recirculated exhaust gases to the diesel engine so as to regulate the relation between supplied fresh air and recirculated exhaust gases, characterized in that a Lambda probe (14), an engine speed sensor (15) and an engine load sensor (16) are connected to the control device (13) to supply information thereto and that the control device (13) is arranged to regulate the air/fuel relation of the diesel engine by means of said information and the valve device (12).
- 30 25 A device according to claim 24, <u>characterized</u> in that the valve device is a valve according to any of claims 16-21.

## **PCT**

## WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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**A1** 

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(71) Applicant (for all designated States except US): STT HOLD-ING AB [SE/SE]; P.O. Box 7219, S-862 40 Njurunda (SE).

(72) Inventors: and

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(74) Agents: BJERKÉN, Håkan et al.; Bjerkéns Patentbyrå KB, P.O. Box 1274, S-801 37 Gävle (SE).

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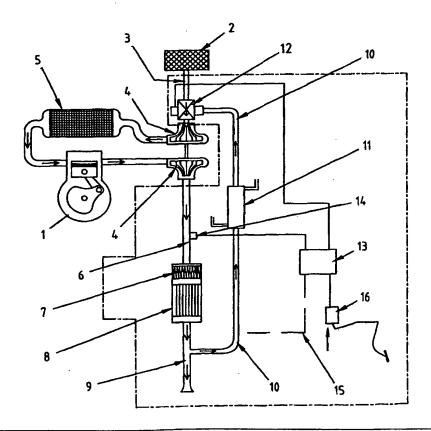
#### **Published**

With international search report.

(54) Title: A METHOD AND DEVICE FOR AN EGR-SYSTEM AND A VALVE AS WELL AS A REGULATION METHOD AND DEVICE

#### (57) Abstract

The invention is related to a method and a device for recirculation of a part of exhaust gases from an exhaust pipe (6, 9) of a diesel engine (1) to the inlet of the engine, the exhaust gases being diverted from the exhaust pipe (6, 9) and directed through a recirculation conduit (10) to a controllable valve device (12) arranged between the engine and the air intake (2) thereof for allowing supply of air/recirculated exhaust gases in a desired relation to the combustion chamber of the engine (1). The invention also relates to a particular valve having two controllable inlets, said valve being useful in the method or device according to the invention, and a regulation method and device for regulating the air/fuel relation of a diesel engine.



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WO 00/28203 PCT/SE99/02029

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# A method and device for an EGR-system and a valve as well as a regulation method and device

The present invention is related to a method and a device for an EGR-system (Exhaust Gas Recirculation), particularly for use in heavy-duty diesel engines. The invention also relates to a valve which is particularly suited for the method and device according to the invention but the valve may also find use within other fields. Finally, the invention is also related to a regulation method and device for a diesel engine.

In order to reduce the contents of hazardous exhaust gases, particularly nitrogen oxide (NOx), so called EGR-systems are used since many years in many types of combustion engines. Such systems admit a part of the exhaust gases to be recirculated to the intake system of the engine, where it is mixed with the intake air and is conveyed further to the combustion chamber of the engine. The recirculated exhaust gases replace a part of the intake air and have a reducing effect on the formation of NOx. A so called EGR-valve is then placed in connection with the exhaust system of the engine, the purpose of said valve being to regulate the amount of recirculated exhaust gases.

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A method and a device according to the precharacterising parts of enclosed claims 1 and 6 are disclosed in DE A1 4 007 516. Although this prior art makes it possible to use an EGR-system in super charged diesel engines, where the pressure in the intake system downstream of the super charger is higher than the pressure in a recirculation conduit from an EGR-valve some

important disadvantages are inherent in this prior art. Thus, the valve device comprises separate dampers arranged in the EGRrecirculation channel and an air intake channel. Separate drive motors are provided for these dampers, a fact which makes the valve device complicated and this also applies for a control device therefor.

#### OBJECT OF THE INVENTION

10 A primary object of the present invention is to provide a method enabling a more reliable and simple regulation of the relation air/recirculated exhaust gases. As to the device according to the invention, the aim is to simplify the valve device and provide for a more reliable and simple control thereof.

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A secondary object of the invention is to provide a valve suitable for mixing two fluids flowing through two inlet channels. Such a valve should be suitable for general purposes but is particularly useful in an EGR-system for diesel engines, including super

20 charged diesel engines.

> A tertiary object of the invention is to provide a regulation method and device providing for improved regulation possibilities with regard to a diesel engine provided with an EGR-system.

#### SUMMARY OF THE INVENTION

The primary object of the invention is achieved by the features defined in the characterising parts of claims 1 and 6. The design 30 of the valve device as comprising two dampers, where at least one of the dampers always is open and where the other of the dampers is closable by means of a drive motor common to the dampers provides for a simple design and a reliable operation.

The secondary object of the invention is achieved by means of the valve as defined in the characterising part of claim 13.

The tertiary object of the invention is achieved by means of the regulation method and device as defined in the characterising parts of claims 16 and 18. Thus, this aspect of the invention is based on use of probes and sensors connected to a control device for the valve device so as to enable regulation not only of the relation air/recirculated exhaust gases but also regulation of the air/fuel relation of the engine. This aspect of the invention provides for an improved overall regulation of the engine and a smaller amount of pollution.

Preferable developments of the basic aspects of the invention are defined in dependent claims.

The use of an EGR-system as contemplated by the invention on an engine provided with a catalyst and a particle filter according to the prior art results in a substantial reduction of the NOx contents. This reduction may be up to 50% and makes it possible to upgrade existing diesel engines to present emission requirements and to upgrade modern diesel engines to future emission requirements.

#### 25 BRIEF DESCRIPTION OF THE DRAWINGS

The invention will now be described by means of non-limiting embodiments illustrated in the drawings, where

- 30 Fig 1 illustrates a diagrammatical view of an EGR-system according to the invention;
  - Fig 2a illustrates a sectioned valve according to the invention in one end position;

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- Fig 2b is a section view of the valve in Fig 2a as viewed in the direction of the arrow B-B;
- Fig 3a illustrates a sectioned valve according to the invention in an intermediate position;
  - Fig 3b illustrates a section view of the valve in Fig 3a as viewed in the direction of the arrow B-B;
- 10 Fig 4a illustrates a cut valve according to the invention in a second end position; and
  - Fig 4b illustrates a section view of the valve in Fig 4a as viewed in the direction of the arrow B-B.

#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

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Fig 1 is a diagrammatical view showing the parts, which are essential to the invention, of a combustion engine indicated with the reference character 1. The engine is in the selected embodiment example a turbo charged diesel engine but as already mentioned the engine may be a diesel engine without super charging or a diesel engine with a different type of super charging than a turbo charger. Air is taken to the engine 1 through an air intake, an air filter 2, and is directed via an inlet air channel 3 to a turbo charger 4, where the air is super charged and then conveyed further through an intercooler 5, where the super charged air is cooled down before it is conveyed into the engine 1. The exhaust gases from the engine 1 are first directed through the second side of the turbo charger 4, namely that side which is the driving one, and then through an exhaust pipe 6, a catalyst 7 and a particle trap 8 to finally be emitted to the open air via an end pipe 9.

From the end pipe 9, i.e. an extension of the exhaust pipe after the catalyst and particle trap, there is a branch, a recirculation

conduit 10, to recirculate from the exhaust gases a part thereof to the engine. The recirculation conduit 10 passes suitably through a cooler 11 to cool down the recirculated exhaust gases and it connects to the inlet air channel 3 via a valve device 12 controllable by means of an EGR control device 13. The valve device 12 may, with the assistance of the EGR control device 13, regulate the relation between the supplied amount of fresh air from the inlet air channel 3 and the supplied amount of recirculated exhaust gases from the recirculation conduit 10.

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The EGR control device 13 regulating the valve device 12 is supplied with information about the actual operational state of the engine from a.o. a Lambda probe 14, a sensor 15 for the number of revolutions of the engine and a sensor 16 for engine load and this control device is programmed to control the valve device 12 and, accordingly, the mixing relation fresh air/exhaust gases for the purpose of minimising the contents of hazardous substances leaving the end pipe 9 and being emitted into the open air. The programming of the EGR control device 13 occurs in a previously known manner with regard to the relations between the different factors given hereinabove. As is well known, a Lambda probe provides an output signal varying with the oxygen contents of the exhaust gases. The engine load sensor 16 may for instance be a throttle position sensor and/or a sensor sensing the amount of fuel injected to the engine. Also other sensors than those mentioned may be added to achieve a refined regulation.

The valve device 12 may comprise separate valves in the inlet air channel 3 and in the recirculation conduit 10, said valves then being separately controllable by the EGR control device 13. Alternatively the valve device 12 may also comprise a unit, in which flows from the inlet air channel 3 and the recirculation conduit 10 may be selectively brought together, by means of valves contained in the valve device, to a common output flow, which is conveyed further to the turbo charger for super charg-

ing and introduction into the engine via the intercooler 5. A particularly suitable valve device 12 in one unit is a particular part of the invention and will be described more closely hereunder.

The valve illustrated in Figs 2-4 is a type of mixing valve providing for mixing of two in-flowing fluids in such a manner that the in-flowing fluid in one of the two inlet channels may be regulated from 0 to maximum, and thereafter the in-flowing fluid in the second inlet channel from a maximum to 0.

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In use of the valve according to the invention as illustrated in Figs 2-4 as a valve device 12 in the method or device according to the invention, the inlet air channel 3 is connected to the second inlet channel 18 of the valve, whereas the recirculation conduit 10 is connected to the first inlet channel 17 of the valve. Furthermore, there is in the valve an outlet channel 19, which in the present embodiment conveys the gases mixed in the valve to the turbo charger 4. In both inlet channels 17 and 18, there are dampers 20 and 21, which are pivotable between an open and a closed position by means of an adjustment motor 22, for instance a step motor, to open or close the inlet channels. The two dampers 20, 21 are placed on concentric axles 23, 24, which are rotatable by means of the adjustment motor 22 and an actuation pin 25 driven by the motor, said actuation pin being capable of pivoting actuation arms 26, 27 connected to the axles 23 and 24 respectively. The actuation arms 26, 27 are spring loaded by one or more springs 28, 29 to a normal position, illustrated in Fig 3, where both dampers 20, 21 are held in a position such that the inlet channels 17, 18 are open.

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In the position illustrated in Fig 2a, b, which is a starting position, the adjustment motor 22 has, by means of its actuation pin 25 and by means of the actuation arm 26, rotated the damper 20 to a closed position, and thus, the first inlet channel 17 connected to the recirculation conduit 10 is closed and no exhaust gases may be recirculated to the turbo charger and the engine.

The second actuation arm 27 is maintained in its normal position by the spring 29, which means that the damper 21 in the second inlet channel 18 connected to the inlet air channel 3 is maintained in its normally opened position and allows free flow of inlet air through the valve via the outlet channel 19 to the turbo charger. The adjustment motor 22 is controlled by the EGR control device 13 to regulate the relation between fresh air via the inlet air channel 3 and recirculated exhaust gases via the recirculation conduit 10. In the position with the damper 21 open, the adjustment motor 22 may rotate, by means of its actuation pin 25 and by means of the actuation arm 26, the damper 20 from the entirely closed position shown in Fig 2a, b to the position which is shown in Fig 3a, b where also the damper 20 is in such a position that also the first inlet channel 17 is open. The adjustment motor may also adjust the damper 20 into any position between these two end positions.

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The position illustrated in Fig 3a, b, which is the normal position for the adjustment motor 20, is such that the actuation pin 25 of the adjustment motor does not actuate any of the actuation arms 26, 27 but they are maintained by the springs 28, 29 in a normal position, where, accordingly, the dampers 20, 21 open both inlet channels 17, 18.

Fig 4a, b illustrates a position contrary to the one in Fig 2a, b. Thus, the adjustment motor 22 has by means of its actuation pin 25 and by means of the actuation arm 27 rotated the damper 21 to a closed position, which means that the connection of the inlet air channel 3 with the outlet channel 19 is entirely interrupted whereas on the contrary the first inlet channel 17 connected to the recirculation conduit 10 is entirely open and allows the recirculated exhaust gases to freely flow further through the outlet channel 19 and then to the turbo charger 4 and further on to the engine. However, by means of the adjustment motor 22, the damper 21 may be adjusted into any intermediate position between the end positions illustrated in

Figs 3 and 4 to allow a desired amount, controlled by the EGR control device 13, of fresh air to be mixed with the recirculated exhaust gases.

Thus, with the valve according to the invention it becomes possible to control, in a simple manner, a three-way valve having two inlets and having a normal position, where both inlets are open so that one or the other of the inlets may be controlled steplessly whereas the remaining inlet is maintained open. The valve may of course be controlled in other manners than by means of the EGR control device 13 described above and it may be used in quite different connections than the one now described and where corresponding control properties are desirable.

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In a regulation method according to the invention, a control device is used which is supplied with information from a lambda probe 14, a motor speed sensor 15 and an engine load sensor 16. The control device is connected to a valve device, corresponding to the valve device 12 described here-inabove, for regulation of the in-flowing amounts of air and/or recirculated exhaust gases to the engine. This valve device is arranged between the air filter and the inlet channel of the engine and may, as also has been described for the valve device 12, comprise separate valves in the inlet air channel and recirculation conduit, or a three-way valve of the kind also described hereinabove. The valve device is controllable in a corresponding manner as also described hereinabove, and the control device may therefore control, based on the input signals received, the air/fuel relation of the engine by regulating the amount of inflowing air and simultaneously regulating the relation between the supplied fresh air and recirculated exhaust gases. This aspect of the invention is applicable with or without supercharging.

With the regulation method and device according to the invention it is possible to further decrease the NOx-contents in the exhaust gases exiting from the end pipe of a diesel engine.

#### CLAIMS:

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- 1. A method for recirculating a part of exhaust gases from an exhaust pipe (6, 9) of a diesel engine (1) to an inlet of the engine, the exhaust gases being diverted from the exhaust pipe (6, 9) and directed through a recirculation conduit (10) to a controllable valve device (12) arranged between the engine and an air intake (2) thereof to enable supply of air/recirculated exhaust gases in a desired relation to a combustion chamber of the engine, the valve device comprising dampers (20, 21) arranged in inlet channels (17, 18) for the recirculated exhaust gases and air respectively, characterized in that at least one of the dampers (20, 21) always is maintained open and that the other damper (20, 21) is closed by means of a drive motor (22) common to the dampers.
- 2. A method according to claim 1, <u>characterized</u> in that the exhaust gases from the exhaust pipe (6, 9) are diverted after a catalyst (7) and a particle filter (8).
  - 3. A method according to claim 1 or 2, <u>characterized</u> in that the relation air/recirculated exhaust gases is regulated by means of a control device (13) controlling the valve device (12) based on information (14, 15, 16) supplied as to the actual operational state of the engine (1).
  - 4. A method according to any preceding claim, characterized in that the exhaust gases in the recirculation conduit (10) are cooled in a cooler (11) arranged in the recirculation conduit (10).
- 5. A method according to any preceding claim, characterized in that in a super charged diesel engine the recirculated exhaust gases are supplied between the air intake (2) and a super charger (4).

6. A device for recirculating a part of the exhaust gases from an exhaust pipe (6, 9) of a diesel engine (1) to an inlet of the engine, a recirculation conduit (10) being provided for diverting the exhaust gases from the exhaust pipe (6, 9) and directing them to a controllable valve device (12) arranged between the engine and an air intake (2) thereof for enabling supply of air/recirculated exhaust gases in a desired relation to a combustion chamber of the engine (1), the valve device comprising dampers (20, 21) arranged in inlet channels (17, 18) for the recirculated exhaust gases and air respectively, characterized in that the valve device is arranged to always maintain at least one of the dampers open and that a drive motor (22) common to the dampers is arranged to close the other of the dampers (20, 21).

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- 7. A device according to claim 6, <u>characterized</u> in that the drive motor (22) of the valve device (12) is controlled by a control device (13) regulating, by means of the positions of the dampers (20, 21), the relation air/recirculated exhaust gases in the valve device (12) based on information (14, 15, 16) supplied as to the actual operational state of the engine.
- 8. A device according to any of claims 6-7, <u>characterized</u> in that the recirculation conduit (10) is connected to the exhaust pipe (6, 9) of the engine after the catalyst (7) and a particle filter (8).
- 9. A device according to any of claims 6-8, <u>characterized</u> by a cooler (11) arranged in the recirculation conduit (10) to cool the recirculated exhaust gases.
- 10. A device according to any of claims 6-9, <u>characterized</u> in that in a diesel engine having a super charger, the valve (12) is arranged between the air intake (2) and the super charger.

11.A device according to any of claims 6-10, <u>characterized</u> in that the drive motor is a step-motor (22) arranged to operate one of the dampers (20, 21) at a time and adjust the same into an arbitrary position.

12.A device according to any of claims 6-11, <u>characterized</u> in that both dampers (20, 21) in a normal position are spring loaded (28, 29) to an open position.

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13. A valve for mixing two fluids flowing through two inlet channels (17, 18), <u>characterized</u> in that dampers (20, 21) are arranged in both inlet channels (17, 18), that at least one of the dampers always is open and that a common motor (22) is arranged to close the other of said dampers (20, 21).

14.A valve according to claim 13, <u>characterized</u> in that the motor is a step-motor (22) arranged to operate one of the dampers (20, 21) at a time and adjust the same into an arbitrary position.

- 15.A valve according to claim 13 or 14, <u>characterized</u> in that both dampers (20, 21) in a normal position are spring loaded (28, 29) to an open position.
- 16.A method for regulating a diesel engine, a part of the exhaust gases from the diesel engine being recirculated to the inlet thereof and a supply of fresh air and recirculated exhaust gases to the diesel engine being regulated by means of a valve device (12) controlled by a control device (13) to regulate the relation between the supplied fresh air and recirculated exhaust gases, characterized in that the control device (13) is supplied with information from a Lambda probe (14), an engine speed sensor (15) and an engine load sensor (16) so as to regulate the air/fuel relation of the diesel engine by means of said information and the valve device.

- 17.A regulating method according to claim 16, <u>characterized</u> in that a valve according to any of claims 13-15 is used as the valve device.
- 18. A regulating device for a diesel engine, comprising means (10) for recirculating a part of exhaust gases from the diesel engine to an inlet thereof and a valve device (12) controlled by a control device (13) for regulating supply of fresh air and recirculated exhaust gases to the diesel engine so as to regulate the relation between supplied fresh air and recirculated exhaust gases, characterized in that a Lambda probe (14), an engine speed sensor (15) and an engine load sensor (16) are connected to the control device (13) to supply information thereto and that the control device (13) is arranged to regulate the air/fuel relation of the diesel engine by means of said information and the valve device (12).
  - 19.A device according to claim 18, <u>characterized</u> in that the valve device is a valve according to any of claims 13-15.

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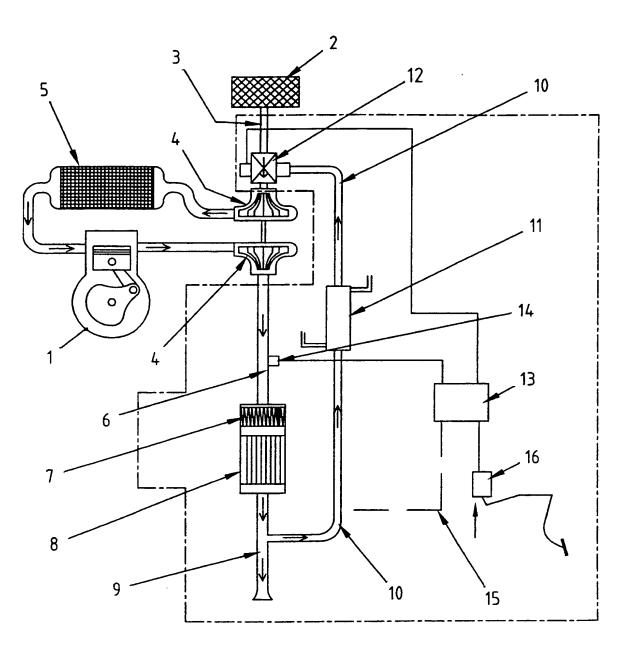
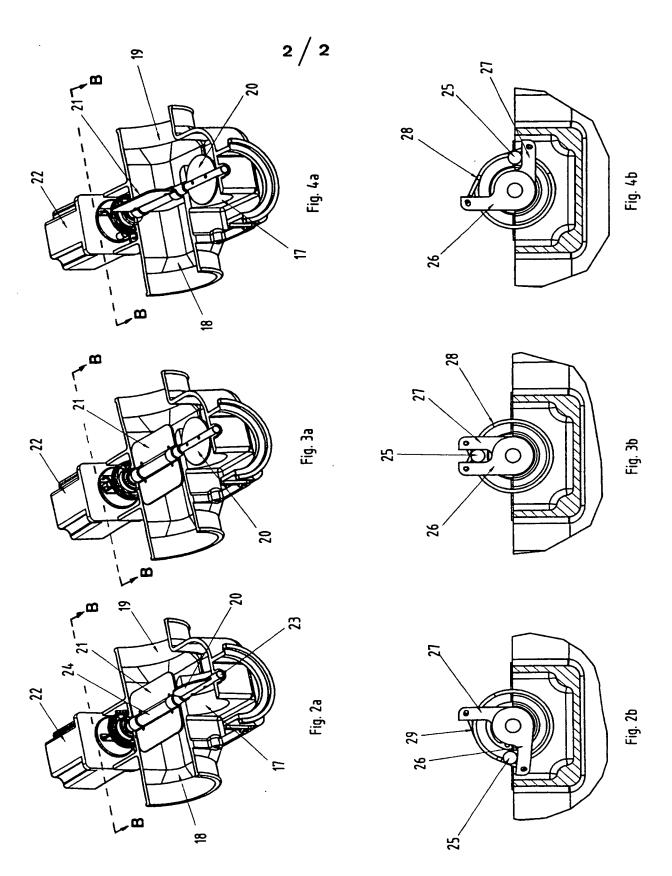


Fig. 1

WO 00/28203 PCT/SE99/02029



SUBSTITUTE SHEET (RULE 26)

International application No.

PCT/SE 99/02029

#### A. CLASSIFICATION OF SUBJECT MATTER

IPC7: F02M 25/07, F16K 11/052, F16K 11/14 According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: F02M, F16K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE, DK, FI, NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Catanamit	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Category*	Chanon of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
Х	DE 4007516 A1 (KLÖCKNER-HUMBOLDT-DEUTZ AG), 12 Sept 1991 (12.09.91), column 2, line 22 - line 46, figure 1	16,18
	<del>'</del>	
Х	DE 19728353 C1 (DAIMLER-BENZ AKTIENGESELLSCHAFT), 24 Sept 1998 (24.09.98), column 3, line 40 - column 4, line 54, figures 1,2	16,18
P <b>,A</b>	WO 9855759 A1 (SOUTHWEST RESEARCH INSTITUTE), 10 December 1998 (10.12.98), figure 2, abstract	
	<del></del>	

X	Further documents are listed in the continuation of Box	C.	See patent family annex.
*	Special categories of cited documents:	"T"	later document published after the international filing date or priority
″Λ″	document defining the general state of the art which is not considered to be of particular relevance		date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E"	erlier document but published on or after the international filing date	"X"	document of particular relevance: the claimed invention cannot be
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other		considered novel or cannot be considered to involve an inventive step when the document is taken alone
"O"	special reason (as specified)	"Y"	document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is
1 "	document referring to an oral disclosure, use, exhibition or other means		combined with one or more other such documents, such combination
"p"	document published prior to the international filing date but later than		being obvious to a person skilled in the art
ł	the priority date claimed	"& <b>"</b>	document member of the same patent family
Date	e of the actual completion of the international search	Date	of mailing of the international search report
4	February 2000		2 5 -02- 2 <b>00</b> 9
Nan	ne and mailing address of the ISA/	Autho	rized officer
Swe	edish Patent Office		
Вох	5055, S-102 42 STOCKHOLM	Dan	Ionesco / MR
	simile No. +46 8 666 02 86		none No. + 46 8 782 25 00

Form PCT/ISA/210 (second sheet) (July 1992)

International application No.

PCT/SE 99/02029

A US 5427141 A (K. OHTSUBO), 27 June 1995 (27.06.95), figures 1-4, abstract	Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
	A	US 5427141 A (K. OHTSUBO), 27 June 1995 (27.06.95), figures 1-4, abstract	
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International application No. SE99/02029

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This inter	rnational search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1.	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2.	Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
Claim of ex gases	emational Searching Authority found multiple inventions in this international application, as follows:  ns 1-15 relate to a method and a device for recirculating a part  khaust gases of diesel engine and to a valve for mixing exhaust  s and fresh air.
diese	ms 16 and 18 relate to a method and a devise for regulating a el engine depending on information from a lamda probe, an engine d sensor and an engine load sensor.
1 2	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.  As only some of the required additional search fees were timely paid by the applicant, this international search report
3.	As only some of the required additional search tees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark	The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.

Form PCT/ISA/210 (continuation of first sheet (1)) (July1992)

International application No. PCT/SE99/02029

The	inv on	rentions special	of claims technical	1-15 and features	of as	claims 16, 1 required by	.8 do not PCT Rule	have 13.2.
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Form PCT/ISA/210 (extra sheet) (July1992)

Information on patent family members

International application No.

02/12/99 | PCT/SE 99/02029

Patent document cited in search report		Publication date		Patent family member(s)	Publication date	
DE	4007516	A1	12/09/91	NONE		
DE	19728353	C1	24/09/98	EP US	0889226 A 5937651 A	07/01/99 17/08/99
WO	9855759	A1	10/12/98	AU US	7726498 A 5927075 A	21/12/98 27/07/99
US	5427141	A	27/06/95	NONE		

## ATENT COOPERATION TREAT

## **PCT**

REC'D 2 2 JAN 2001

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

PCT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference	FOR FURTHER ACTION	N See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)					
20170PCT ab			Priority date (day/month/year)				
International application No.	International filing date (day/	montnyear)	1 · ·				
PCT/SE99/02029 09.11.1999 09.11.1998							
International Patent Classification (IPC)		C <sub>7</sub>					
F02M 25/07, F16K 11/0	52, F16K 11/14						
	·						
STT HOLDING AB et al	Applicant						
STT HOLDING AB et al							
<ol> <li>This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</li> </ol>							
2. This REPORT consists of a total	of 5 sheets, inc	cluding this cove	r sheet.				
been amended and are the	This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).						
These annexes consist of a total	of 5 sheets.						
3. This report contains indications	relating to the following items:						
I Basis of the report							
II Priority							
III Non-establishment	of opinion with regard to nove	lty, inventive ste	p and industrial applicability				
IV \( \sum_{\text{Lack of unity of in}}	vention						
V Reasoned statemen	t under Article 35(2) with regarnations supporting such statement	rd to novelty, in ent	ventive step or industrial applicability;				
VI Certain documents	cited						
VII Certain defects in t	he international application						
VIII Certain observations on the international application							
Date of submission of the demand	. D	ate of completion	on of this report				
07.06.2000	1	2.01.200	)1				
Name and mailing address of the IPEA	/SE A	authorized office					
Patent- och registreringsverke	et Telex 17978	•					
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## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.	
PCT/SE99/02029	

I.	Reci	s of the report		
		regard to the elements of the international application:*	-	
1.	With	the international application as originally filed		
	닖			
	$\bowtie$	the description:	, as originally filed	
		pages 1-9 pages ,	filed with the demand	
		pages, filed with the letter of		
	$\boxtimes$	the claims:		
	<u> </u>	pages	_ , as originally filed	
		pages, as amended (together with any state pages,	filed with the demand	
		pages $10-14$ , filed with the letter of $23.11$ ,		
	$\bowtie$	the drawings:	, as originally filed	
		pages 1-2 ,	filed with the demand	
		pages, filed with the letter of		
		the sequence listing part of the description:	ľ	
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		,	filed with the demand	
		pages , mod with the management of the managemen		
2	tha is	regard to the language, all the elements marked above were available or furnished to this Authority in international application was filed, unless otherwise indicated under this item. He elements were available or furnished to this Authority in the following language the language of a translation furnished for the purposes of international search (under Rule 23.1(b)). The language of publication of the international application (under Rule 48.3(b)).	which is:	
		the language of the translation furnished for the purposes of international preliminary examination (or 55.3).		
3	8. With preli	n regard to any <b>nucleotide and/or amino acid sequence</b> disclosed in the international application, the iminary examination was carried out on the basis of the sequence listing:	international	
	contained in the international application in written form.			
		filed together with the international application in computer readable form.		
		furnished subsequently to this Authority in written form.		
		furnished subsequently to this Authority in computer readable form.	ocure in the	
		The statement that the subsequently furnished written sequence listing does not go beyond the disclesinternational application as filed has been furnished.  The statement that the information recorded in computer readable form is identical to the written see been furnished.		
	4.	The amendments have resulted in the cancellation of:		
		the description, pages		
		the claims, Nos.		
		the drawings, sheet/fig		
	5.	This report has been established as if (some of) the amendments had not been made, since they hav beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2 (c)).**	e been considered to go	
	in	placement sheets which have been furnished to the receiving Office in response to an invitation under this report as "originally filed" and are annexed to this report since they do not contain amendments (	Article 14 are referred to Rules 70.16	
	an ** An	nd 70.17). By replacement sheet containing such amendments must be referred to under item I and annexed to this	report.	

#### $^{l}$ INTERNATIONAL PRELIMINARY EXAMINATION REPORT

	_
International application No.	
PCT/SE99/02029	

IV.	Lack of unity of invention
1.	In response to the invitation to restrict or pay additional fees the applicant has:  restricted the claims.  paid additional fees.  paid additional fees under protest.  neither restricted nor paid additional fees.
2.	This Authority found that the requirement of unity of invention is not complied with and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.
3.	This Authority considers that the requirement of unity of invention in accordance with rules 13.1, 13.2 and 13.3 is complied with.  not complied with for the following reasons:  The International Preliminary Examining Authority found multiple inventions in this international application, as follows:  — claims 1 - 21 relate to a method and a device for recirculating a part of exhaust gases of a diesel engine and to a valve for mixing exhaust gases and fresh air;  — claims 22 - 25 relate to a method and a device for regulating a diesel engine depending on information from a lambda probe and from sensors for the engine speed and the engine load.  The invention of claims 1 - 21 and of claims 22 - 25 do not have common special technical features as required by PCT Rule 13.2.  Consequently, the following parts of the international application were the subject of international preliminary examination in establishing this report:
	all parts.
ŀ	the parts relating to claims Nos.

#### $^{\mbox{\scriptsize $t$}}$ INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

NO

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1.	Statement			
	Novelty (N)	Claims Claims	1-25	YES NO
	Inventive step (IS)	Claims Claims	1-21 22-25	YES NO
	Industrial applicability (IA)	Claims	1-25	YES

2. Citations and explanations (Rule 70.7)

Amended claims have been submitted.

Claims

The present invention relates to a method and a device for recirculating a part of exhaust gases of a diesel engine and to a valve for mixing exhaust gases and fresh air as stated in the preambles of claims 1, 6, and 16 respectively. One purpose of the invention is to provide a simple and reliable method and device regulating the relation air/recirculated exhaust gases. This is achieved by the features stated in the characterising part of claims 1, 6 and 16.

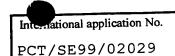
None of the documents cited in the International Search Report discloses a method and a device for recirculating a part of exhaust gases of a diesel engine and a valve for mixing exhaust gases and fresh air as claimed in claims 1-21. Furthermore, in the cited documents there are no suggestions leading a person skilled in the art towards the invention defined by claims 1-21. Therefore, the invention claimed in claims 1-21 is novel, involves an inventive step and has industrial applicability according to PCT Article 33(2,3,4).

The following document was cited in the International Search Report as particularly relevant with regard to claims 22 and 24:

D1. DE, C1, 19728353

.../...

#### INTERNATIONAL PRELIMINARY EXAMINATION REPORT



Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: V.

D1 discloses a regulating device for a diesel engine comprising means for recirculating a part of exhaust gases and a valve device for regulating the relation between supplied fresh air and recirculated exhaust gases. The valve device is controlled depending on different engine parameters.

The method and the device according to claims 22 and 24 differ from the device described in D1 only in that a Lambda probe is used in addition to a speed sensor and an engine load sensor in order to regulate the air/fuel relation of the diesel engine. However, according to D1 (column 4, line 46 - line 54) the regulating system of the engine can be provided, for example, with carbon dioxide sensors placed in the intake pipe and the exhaust pipe. Furthermore, the use of a Lambda probe in mixture regulating systems for internal combustion engines is commonly known art and obvious to a person skilled in the art. Therefore, the subject matter of claim 22 and likewise of claim 24 is novel according to PCT Article 33(2) but lacks inventive step according to PCT Article 33(3).

Dependent claims 23 and 25 disclose further features of the invention according to claims 22 and 24 and are considered to fulfil the requirement of novelty according to PCT Article 33(2) but lacks inventive step according to PCT Article 33(3).

However, claims 22 - 25 fulfil the requirement of industrial applicability according to PCT Article 33(4).

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#### CLAIMS:

RETT 34 PARTY

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- 1. A method for recirculating a part of exhaust gases from an exhaust pipe (6, 9) of a diesel engine (1) to an inlet of the engine, the exhaust gases being diverted from the exhaust pipe (6, 9) and directed through a recirculation conduit (10) to a controllable valve device (12) arranged between the engine and an air intake (2) thereof to enable supply of air/recirculated exhaust gases in a desired relation to a combustion chamber of the engine, the valve device comprising dampers (20, 21) arranged in inlet channels (17, 18) for the recirculated exhaust gases and air respectively, characterized in that at least one of the dampers (20, 21) always is maintained open and that the other damper (20, 21) is closed by means of a drive motor (22) common to the dampers.
- 2. A method according to claim 1, characterized in that the exhaust gases from the exhaust pipe (6, 9) are diverted after a catalyst (7) and a particle filter (8).
- 3. A method according to claim 1 or 2, characterized in that the relation air/recirculated exhaust gases is regulated by means of a control device (13) controlling the valve device (12) based on information (14, 15, 16) supplied as to the actual operational state of the engine (1).
- 4. A method according to any preceding claim, characterized in that the exhaust gases in the recirculation conduit (10) are cooled in a cooler (11) arranged in the recirculation conduit (10).
- 5. A method according to any preceding claim, characterized in that in a super charged diesel engine the recirculated exhaust gases are supplied between the air intake (2) and a super charger (4).

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MIT 34 PARIOT 6. A device for recirculating a part of the exhaust gases from an exhaust pipe (6, 9) of a diesel engine (1) to an inlet of the engine, a recirculation conduit (10) being provided for diverting the exhaust gases from the exhaust pipe (6, 9) and directing them to a controllable valve device (12) arranged between the engine and an air intake (2) thereof for enabling supply of air/recirculated exhaust gases in a desired relation to a combustion chamber of the engine (1), the valve device comprising dampers (20, 21) arranged in inlet channels (17, 18) for the recirculated exhaust gases and air respectively, characterized in that the valve device is arranged to always maintain at least one of the dampers open and that a drive motor (22) common to the dampers is arranged to close the other of the dampers (20, 21).

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- 7. A device according to claim 6, characterized in that the drive motor (22) of the valve device (12) is controlled by a control device (13) regulating, by means of the positions of the dampers (20, 21), the relation air/recirculated exhaust gases in the valve device (12) based on information (14, 15, 16) supplied as to the actual operational state of the engine.
- 8. A device according to any of claims 6-7, characterized in that the recirculation conduit (10) is connected to the exhaust pipe (6, 9) of the engine after the catalyst (7) and a particle filter (8).
- 9. A device according to any of claims 6-8, characterized by a cooler (11) arranged in the recirculation conduit (10) to cool 30 the recirculated exhaust gases.
  - 10.A device according to any of claims 6-9, characterized in that in a diesel engine having a super charger, the valve (12) is arranged between the air intake (2) and the super charger.

- 11.A device according to any of claims 6-10, <u>characterized</u> in that the drive motor is a step-motor (22) arranged to operate one of the dampers (20, 21) at a time and adjust the same into an arbitrary position.
- 12.A device according to any of claims 6-11, <u>characterized</u> in that both dampers (20, 21) in a normal position are spring loaded (28, 29) to an open position.

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- 13. A device according to any of claims 6-12, <u>characterized</u> in that the valve device (12) comprises a first axle (23) on which a first one (20) of the dampers is arranged and a second axle (24) on which a second one (21) of the dampers is arranged, the first and second axles (23, 24) being concentric, and that both axles (23, 24) are arranged to be rotatable by the drive motor (22).
- 14. A device according to claim 13, <u>characterized</u> in that the first axle (23) is connected to a first actuation arm (26), that the second axle (24) is connected to a second actuation arm (27), and that the valve device (12) comprises an actuation pin (25) which is moveable by means of the drive motor (22) in order to rotate the first axle (23) and the second axle (24) by interaction with the first actuation arm (26) and the second actuation arm (27), respectively, so as to control the position of the dampers (20, 21).
  - 15.A device according to claim 14, <u>characterized</u> in that the valve device (12) comprises springs (28, 29) acting on the actuation arms (26, 27) so as to spring load each damper (20, 21) towards an open position.
- 16.A valve for mixing two fluids flowing through two inlet channels (17, 18), <u>characterized</u> in that dampers (20, 21) are arranged in both inlet channels (17, 18), that at least one of

2 3 -11- 2009

MIT 34 AMEDI the dampers always is open and that a common motor (22) is arranged to close the other of said dampers (20, 21).

- 17.A valve according to claim 16, characterized in that the motor is a step-motor (22) arranged to operate one of the dampers (20, 21) at a time and adjust the same into an arbitrary position.
- 18.A valve according to claim 16 or 17, characterized in that both dampers (20, 21) in a normal position are spring loaded (28, 29) to an open position.
  - 19.A valve according to any of claims 16-18, characterized in that the valve (12) comprises a first axle (23) on which a first one (20) of the dampers is arranged and a second axle (24) on which a second one (21) of the dampers is arranged, the first and second axles (23, 24) being concentric, and that both axles (23, 24) are arranged to be rotatable by the motor (22).
  - 20.A valve according to claim 19, characterized in that the first axle (23) is connected to a first actuation arm (26), that the second axle (24) is connected to a second actuation arm (27), and that the valve (12) comprises an actuation pin (25) which is moveable by means of the motor (22) in order to rotate the first axle (23) and the second axle (24) by interaction with the first actuation arm (26) and the second actuation arm (27), respectively, so as to control the position of the dampers (20, 21).
  - 21. A valve according to claim 20, characterized in that the valve (12) comprises springs (28, 29) acting on the actuation arms (26, 27) so as to spring load each damper (20, 21) towards an open position.

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22.A method for regulating a diesel engine, a part of the exhaust gases from the diesel engine being recirculated to the inlet thereof and a supply of fresh air and recirculated exhaust gases to the diesel engine being regulated by means of a valve device (12) controlled by a control device (13) to regulate the relation between the supplied fresh air and recirculated exhaust gases, characterized in that the control device (13) is supplied with information from a Lambda probe (14), an engine speed sensor (15) and an engine load sensor (16) so as to regulate the air/fuel relation of the diesel engine by means of said information and the valve device.

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- 23.A regulating method according to claim 22, <u>characterized</u> in that a valve according to any of claims 16-21 is used as the valve device.
- 24. A regulating device for a diesel engine, comprising means (10) for recirculating a part of exhaust gases from the diesel engine to an inlet thereof and a valve device (12) controlled by a control device (13) for regulating supply of fresh air and recirculated exhaust gases to the diesel engine so as to regulate the relation between supplied fresh air and recirculated exhaust gases, characterized in that a Lambda probe (14), an engine speed sensor (15) and an engine load sensor (16) are connected to the control device (13) to supply information thereto and that the control device (13) is arranged to regulate the air/fuel relation of the diesel engine by means of said information and the valve device (12).
- 30 25.A device according to claim 24, <u>characterized</u> in that the valve device is a valve according to any of claims 16-21.

International application No. PCT/SE 99/02029

			1/25 33/	J2029 <sub></sub>		
A. CLASSIFICATION OF SUBJECT MATTER						
IPC7: F02M 25/07, F16K 11/052, F16K 11/14 According to International Patent Classification (IPC) or to both national classification and IPC						
B. FIELDS SEARCHED						
Minimum	Minimum documentation searched (classification system followed by classification symbols)					
IPC7:	F02M, F16K					
Documenta	ation searched other than minimum documentation to	the extent that such documents a	re included i	n the fields searched		
SE,DK,	FI,NO classes as above					
Electronic o	data base consulted during the international search (na	ne of data base and, where prac	ticabie, search	terms used)		
C. DOCU	JMENTS CONSIDERED TO BE RELEVANT					
Category*	Citation of document, with indication, where a	ppropriate, of the relevant pa	assages	Relevant to claim No.		
X	DE 4007516 A1 (KLÖCKNER-HUMBOLI 12 Sept 1991 (12.09.91), co line 22 - line 46, figure 1	olumn 2.		16,18		
X	DE 19728353 C1 (DAIMLER-BENZ AKTIENGESELLSCHAFT), 24 Sept 1998 (24.09.98), column 3, line 40 - column 4, line 54, figures 1,2					
	<u></u>					
P,A	WO 9855759 A1 (SOUTHWEST RESEAR 10 December 1998 (10.12.98) abstract	CH INSTITUTE), , figure 2,				
X Furthe	er documents are listed in the continuation of Bo	x C. X See patent fai	mily annex.			
* Special categories of cited documents:  "A" document defining the general state of the art which is not considered to be of particular relevance  "E" effect document but published on or after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention						
"I." document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (see precified).						
Of document referring to an oral disclosure, use, exhibition or other means  Of document published prior to the international filing date but later than the priority date claimed.						
Date of the actual completion of the international search  Date of mailing of the international search						
4 Fahru	4 February 2000					
Name and i	Name and mailing address of the ISA/  Authorized officer					
Swedish P	Swedish Patent Office					
Facsimile N	o. +46 8 666 02 86	Dan Ionesco / MR Telephone No. +46 8 78	2 25 00			
rm PCT/ISA/210 (second sheet) (tuber1993)						

International application No.
PCT/SE 99/02029

ategory*	Obstinuted description of descriptio	· · · · · · · · · · · · · · · · · · ·
ategory	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
4	US 5427141 A (K. OHTSUBO), 27 June 1995 (27.06.95), figures 1-4, abstract	
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International application No. SE99/02029

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This inte	rnational search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
ı. 🗆	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2.	Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such
	an extent that no meaningful international search can be carried out, specifically:
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Inter	national Searching Authority found multiple inventions in this international application, as follows:
of ex gases	is 1-15 relate to a method and a device for recirculating a part haust gases of diesel engine and to a valve for mixing exhaust and fresh air.  Is 16 and 18 relate to a method and a devise for regulating a
diese	el engine depending on information from a lamda probe, an engine sensor and an engine load sensor.
•	
1.	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
•	
·	
	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
,	
Remark o	on Protest The additional search fees were accompanied by the applicant's protest.
	No protest accompanied the payment of additional search fees.

International application No. PCT/SE99/02029

The inventions of claims 1-15 and of claims 16, 18 do not have common special technical features as required by PCT Rule 13.2.

Information on patent family members

02/12/99

International application No.

PCT/SE 99/02029

	Patent document d in scarch repor		Publication date	·	Patent family member(s)	Publication date	
DE	4007516	.A1	12/09/91	NONE			
DE	19728353	C1	24/09/98	EP US	0889226 5937651	07/01/99 17/08/99	
WO	9855759	A1	10/12/98	AU US	7726498 5927075	 21/12/98 27/07/99	
US	5427141	A	27/06/95	NONE		 	

## PATENT COOPERATION TREATY

	From the INTERNATIONAL BUREAU		
PCT	То:		
NOTIFICATION OF THE RECORDING OF A CHANGE  (PCT Rule 92bis.1 and Administrative Instructions, Section 422)  Date of mailing (day/month/year) 12 April 2001 (12.04.01)	BJERKÉN, Håkan Bjerkéns Patentbyrå KB P.O. Box 1274 S-801 37 Gävle SUÈDE		
Applicant's or agent's file reference			
20170PCT HB	IMPORTANT NOTIFICATION		
International application No. PCT/SE99/02029	International filing date (day/month/year) 09 November 1999 (09.11.99)		
The following indications appeared on record concerning:      X the applicant      X the inventor	the agent the common representative		
Name and Address  BLOMOVIST, Micael Blåklintsvägen 3 S-862 34 Kvissleby Sweden	State of Nationality State of Residence SE SE Telephone No.		
	Facsimile No.		
	Teleprinter No.		
The International Bureau hereby notifies the applicant that the the person			
Name and Address BLOMQUIST, Micael	State of Nationality State of Residence		
<b>52</b> 017, <b>2</b> 010 1 <b>7</b> 1111000	Telephone No.		
	Facsimile No.		
	Teleprinter No.		
3. Further observations, if necessary:			
4. A copy of this notification has been sent to:			
X the receiving Office	the designated Offices concerned		
the International Searching Authority	X the elected Offices concerned		
X the International Preliminary Examining Authority	other:		
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  C. Cupello Crollo		
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38		

# PATENT COOPERATION TREATY

	From the INTERNATIONAL BUREAU			
PCT	To:			
NOTIFICATION OF THE RECORDING OF A CHANGE	BJERKÉN, Håkan Bjerkéns Patentbyrå KB P.O. Box 1274			
(PCT Rule 92bis.1 and Administrative Instructions, Section 422)	S-801 37 Gävle SUÈDE			
Date of mailing (day/month/year) 17 April 2001 (17.04.01)				
Applicant's or agent's file reference 20170PCT HB	IMPORTANT NOTIFICATION			
International application No. PCT/SE99/02029	International filing date (dsy/month/year) 09 November 1999 (09:11.99)			
The following indications appeared on record concerning:      The applicant the inventor	the agent the common representative			
Name and Address	State of Nationality State of Residence SE SE			
STT HOLDING AB Kontorsvägen 9 S-852 29 Sundsvall	Telephone No.			
Sweden	Facsimile No.			
	Teleprinter No.			
2. The International Bureau hereby notifies the applicant that to the person X the name the ad-				
Name and Address	State of Nationality State of Residence SE SE			
STT EMTEC AKTIEBOLAG Kontorsvägen 9 S-852 29 Sundsvall Sweden	Telephone No.			
Sweden	Facsimile No.			
	Teleprinter No.			
3. Further observations, if necessary:				
4. A copy of this notification has been sent to:				
the receiving Office the International Searching Authority	the designated Offices concerned  X the elected Offices concerned			
the International Preliminary Examining Authority other:				
The International Bureau of WIPO 34, chamin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  Dorothée Mulhausen  Total and No. 163, 221, 338, 83, 38			
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38			